

## Application

<b>Federal Award Identification Number (FAIN)</b>	OR-2021-048-00
<b>Temporary Application Number</b>	6800-2021-1
<b>Application Name</b>	CRRSAA - FY 2021 Section 5311 TTP Operating and Indirect Costs for the Confederated Tribes of the Umatilla Indian Reservation
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2024
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6800	Indian Tribe	CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION	131973919

Location Type	Address	City	State	Zip
Headquarters	46411 TIMINE WAY	PENDLETON	OR	978019099
Physical Address	46411 TIMINE WAY	PENDLETON	OR	97801
Mailing Address	46411 TIMINE WAY	PENDLETON	OR	97801

### Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: CRRSAA - FY 2021 Section 5311 TTP Operating and Indirect Costs for the Confederated Tribes of the Umatilla Indian Reservation</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
OR-2021-048-00	Final Concurrence / Reservation	Grant	8/31/2021	8/31/2021	No

### Application Executive Summary

This grant includes \$454,676 in CRRSAA 2021 Section 5311 TTP, which is the full amount allocated to the Confederated Tribes of the Umatilla Indian Reservation (CTUIR). These funds will be used, at 100% federal share, for operating and indirect costs to prevent, prepare for, and respond to the COVID-19 pandemic.

Purpose: Provide operating assistance and indirect costs for sustained transit services in order to prevent, prepare for, and respond to the COVID-19 pandemic.

Activities to be performed: The funding in this application will support transit operations and related indirect costs. Operating costs include but are not limited to driver salaries and benefits, fuel, equipment to disinfect buses, and PPE's.

Expected Outcomes: Sustained safe transit operations during the COVID-19 pandemic.

Intended Beneficiaries: The general public and tribal members in and around the Confederated Tribes of the Umatilla Indian Reservation lands.

Subrecipient Activities: N/A

### \*\* Special Condition \*\*

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The DOI approved the Confederated Tribes of the Umatilla Indian Reservation indirect cost rate on 1/15/2021 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 42.00% or the most current rate will be applied to the applicable activity line descriptions.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Kristine	Ziglar	Transportation Program Specialist	Kristine.Ziglar@dot.gov	(206) 220-7963
Susan	Johnson	Program Manager	susanjohnson@ctuir.org	541-429-7519
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$454,676
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$454,676</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-048-	600- OTHER PROGRAM COSTS	\$454,676.00	\$0.00	\$454,676.00	0

01-00	00 (600- A1)						
OR-2021-048-01-00		11.79.00	PROJECT ADMINISTRATION - INDIRECT COST (CRRSAA FY2021 Section 5311 TTP 100:00)(00)	\$190,964.00	\$0.00	\$190,964.00	0
OR-2021-048-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CRRSAA FY2020 Section 5311 TTP 100:00)(00)	\$263,712.00	\$0.00	\$263,712.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: CRRSAA - FY 2021 Section 5311 TTP Operating and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2021-048-01-00	6800-2021-1-P1	8/31/2021	9/1/2021	12/31/2023

#### Project Description

This project includes \$454,676 in FY2021 Section 5311 TTP CRRSAA funds, which is the full amount allocated to the Confederated Tribes of the Umatilla Indian Reservation (CTUIR). These funds will be used, at 100% federal share, for operating and indirect costs to prevent, prepare for, and respond to the COVID-19 pandemic. Operating costs include but are not limited to driver salaries and benefits, fuel, equipment to disinfect buses, and PPE's.

#### Project Benefits

Provides sustained reliable transit services for access to employment, education, medical facilities, public service agencies, entertainment, and shopping among several communities within our service area. We connect to neighboring transit organizations. Provide a safe, healthy environment for drivers and passengers.

#### Additional Information

*None provided.*

#### Location Description

CTUIR service area includes Union (OR), Umatilla (OR), Morrow (OR), and Walla Walla (WA) counties.

### Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon

## Congressional District Information

State	District	Representative
Oregon	2	Greg Walden

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$454,676
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$454,676</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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OR-2021-048-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$454,676.00	\$0.00	\$454,676.00	0
OR-2021-048-01-00	11.79.00	PROJECT ADMINISTRATION - INDIRECT COST (CRRSAA FY2021 Section 5311 TTP 100:00)(00)	\$190,964.00	\$0.00	\$190,964.00	0
OR-2021-048-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CRRSAA FY2020 Section 5311 TTP 100:00)(00)	\$263,712.00	\$0.00	\$263,712.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT COST (CRRSAA FY2021 Section 5311 TTP 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description

This ALI includes \$190,964 in FY2021 Section 5311 TTP CRRSAA funds at 100% federal share for indirect costs related to operating the CTUIR Public Transit (CPT) using a Federally approved indirect cost rate for the time period of 9/1/2021 through 12/31/2023 to prevent, prepare for, and respond to the COVID-19 pandemic.

### STIP

This ALI includes only operating/admin cost that does not lead to substantial functional, location, or capacity change. Per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$190,964
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$190,964</b>

Milestone Name	Est. Completion Date	Description
Start Indirect Costs	9/1/2021	
End Indirect Costs	12/31/2023	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CRRSAA FY2020 Section 5311 TTP 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This ALI includes \$263,712 in FY2021 Section 5311 TTP CRRSAA funds at the 100% federal share for operating expenses for the CTUIR Public Transit (CPT) which provides transportation services within the CTUIR original treaty boundaries providing transit service to up to 14 cities within four counties in northeastern Oregon and southeastern Washington for the time period of 9/1/2021 through 12/31/2023 to prevent, prepare for, and respond to the COVID-19 pandemic. Operating costs include but are not limited to salaries, benefits, fuel, administrative leave, safety equipment including disinfectants and PPE's, etc.

**STIP**

This ALI includes only operating costs with no substantial functional, location, or capacity change. Per CRRSAA requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$263,712
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$263,712</b>

Milestone Name	Est. Completion Date	Description
Start Operating	9/1/2021	
End Operating	12/31/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Kristine Ziglar**

Comment Type DOL Review for Information

Date 9/14/2021

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Part 6: Agreement



**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION

Recipient ID: 6800

DUNS No: 131973919

**Application Information**

Federal Award Identification Number: OR-2021-048-00

Application Name: CRRSAA - FY 2021 Section 5311 TTP Operating and Indirect Costs for the Confederated Tribes of the Umatilla Indian Reservation

Application Start Date: N/A

Original Award End Date: 3/31/2024

Current Award End Date: N/A

Application Executive Summary: This grant includes \$454,676 in CRRSAA 2021 Section 5311 TTP, which is the full amount allocated to the Confederated Tribes of the Umatilla Indian Reservation (CTUIR). These funds will be used, at 100% federal share, for operating and indirect costs to prevent, prepare for, and respond to the COVID-19 pandemic.

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Expected Outcomes: Sustained safe transit operations during the COVID-19 pandemic.

Intended Beneficiaries: The general public and tribal members in and around the Confederated Tribes of the Umatilla Indian Reservation lands.

Subrecipient Activities: N/A

**\*\* Special Condition \*\***

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

## **Application Budget**

Total Application Budget: \$454,676.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$454,676.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$454,676.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

## **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$454,676
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$454,676</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

(1) Affirms this FTA Award,

- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION*